



CCASS 交收指示 (SI) / HKEx 人手交易指示 (Manual Trade Instruction)

致: 鴻昇證券有限公司
To: Hung Sing Securities Limited

日期
Date: _____

客戶姓名
Client Name: _____

證券賬戶號碼
Securities Account No: _____

<input type="checkbox"/>	中央結算系統之交收指示 (CCASS SI)
<input type="checkbox"/>	SI 交收指示
<input type="checkbox"/>	ISI 投資者戶口交收指示
<p><input type="checkbox"/> 請從以下所指之"結算對手"收取下列證券並存入本人/我們的證券賬戶。 Receive the following securities for my/our securities account from the "Counter-party" stated below.</p> <p><input type="checkbox"/> 請從本人/我們的證券賬戶提取下列證券並交付以下所指之"結算對手"。 Deliver the following securities from my/our securities account to the "Counter-party" stated below.</p> <p>預期交收日 (Expected Settlement Date): _____</p> <p>* 本人/我們確認上述股票受益擁有人身份沒有因此證券交收指示而更改。 I/We confirm that there is no change of beneficial ownership involved in this SI.</p>	

<input type="checkbox"/>	香港交易所人手交易指示 (HKEx Manual Trade Instruction) * 以下交易內容屬保密資料。The trade information below is confidential.
<input type="checkbox"/>	<p>本人/我們現指示鴻昇證券有限公司依據香港交易所之交易機制(人手交易)替我/我們以指定每股價, 向以下所指之"交易對手"買入下列證券。 I/We now instruct Hung Sing Securities Limited acting on behalf of me/us under HKEx's Trading Mechanism (Manual Trade) to BUY the following securities from the "Counter-party" stated below at The Specified Unit Stock Price.</p> <p><input type="checkbox"/> 本人/我們現指示鴻昇證券有限公司依據香港交易所之交易機制(人手交易)替我/我們以指定每股價, 向以下所指之"交易對手"出售下列證券。 I/We now instruct Hung Sing Securities Limited acting on behalf of me/us under HKEx's Trading Mechanism (Manual Trade) to SELL the following securities to the "Counter-party" stated below at the Specified Unit Stock Price.</p> <p>* 指定每股價 (The Specified Unit Stock Price): _____</p>

結算/ISI/交易對手名稱 (Counter-party CCASS/ISI/Broker Name): _____

對手中央結算/ISI/經紀編號 (Counter-party CCASS/ISI/Broker No): _____

聯絡人及聯絡電話 (Contact Person and Phone No): _____

股票編號 Stock Code	股票名稱 Stock Name	數量 Quantity	For Office Use SI Handling Fee
<input type="checkbox"/> SI無須付款交收 (SI FOP)		<input type="checkbox"/> SI 貨銀對付交收款項 (SI DVP Amount):	
Total Fee:			

S.V.

客戶簽署及日期
Client's Authorized Signature(s) and Date

For Office Use Only			
CCASS Maker	CCASS Checker	In-charge	Input/Execution Date: